

EXPRESS MAIL NO.: 615211838US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Melvyn LITTLE, *et al.*

Application Serial No.: 09/424,705

Group Art Unit: Not Assigned

Filed: November 23, 1999

Examiner: Not Assigned

For: **MUTATED OKT3 ANTIBODY**

Attorney Docket No.: 35280047US00

RECEIVED

SEP 25 2002

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

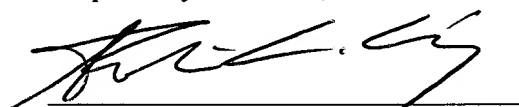
The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Submission of formal Drawings (1 pg);
2. Marked Up Version of Replacement Para (5pgs)
3. Versions with Markings to Show Changes (5 pgs);
4. Statement Regarding Sequence Submission (1 pg);
5. Sequence Listing (8 pgs);
6. Diskette containing Sequence listing
7. Notice of Appeal (2 pgs);
8. Extension of Time (2 pgs);
9. Drawings (2 Sheets);
10. Statement Under 37 CFR 1.55(a)(4);
11. Response to Final Office Action (11 pp);
12. Translation Application in English;
13. Hawkins-type Declaration;
14. Check for \$620.00; and
15. Return Receipt Postcard.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to Howrey & Simon.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No.08-3038. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No.08-3038. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Albert P. Halluin (Reg. No. 25,227)

Robin C. Chiang (Reg. No. 46,619)

Date: September 18, 2002

HOWREY SIMON ARNOLD & WHITE, LLP

1299 Pennsylvania Avenue, N.W.

Box 34

Washington, D. C. 20004-2402



Express Mail No.: EL615211838US

PTO/SB/17 (09-00)

Approved for use through 10/31/2000. OMB 0651-003

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL For FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/424,705

Filing Date June 2, 2000

First Named Inventor Melvyn Little

Examiner Name J. Roark

Group Art Unit 1644

Attorney Docket No. 3528.0047.US00

TOTAL AMOUNT OF PAYMENT

(\$620.00)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Acct. No. 08-3038Deposit
Account Name Howrey Simon Arnold & White, LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- ☐
- Other
-
- Order

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims	- 3** =		X		=	
Multiple Dependent					=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	160.00
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$620.00)

SUBMITTED BY

Name (Print/Type) Albert P. Halluin, Robin C. Chiang

Registration No.
(Attorney/Agent)

25,227 & 46,619

Complete (if applicable)

Telephone (650) 463-8127

Signature

Date 09/18/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on The amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

EXPRESS MAIL NO.: EL615211838US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Melvyn LITTLE, et al.

Application Serial No. 09/424,705

Filed: June 2, 2000

For: **MUTATED OKT3 ANTIBODY**

Art Unit: 1644

Examiner: J. Roark

Attorney's Docket No. 3528.0047.US00

SUBMISSION OF CORRECTED FORMAL DRAWINGS

Attention: Drawing Review Branch

Box AF

Commissioner for Patents

Washington, D.C. 20231


Sir:

This submission is in response to the Notice of Draftperson's Patent Drawing Review dated May 2, 2001. Submitted herewith are TWO sheets of corrected formal drawings containing Figures 3A and 3B of the above-identified application. Applicants respectfully contend that said corrected formal drawings are fully compliant with 37 C.F.R. §1.84, and have corrected the informalities objected to by the Reviewer in the Notice of Draftperson's Patent Drawing Review. Acknowledgement of the receipt, approval, and entry of these formal drawings into this application is respectfully requested.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment to our Deposit Account No. 08-3038.

Respectfully submitted,

Date: September 18, 2001


Albert P. Halluin (Reg. No. 25,227)
Robin C. Chiang (Reg. No. 46,619)

HOWREY SIMON ARNOLD & WHITE, LLP

Box No. 34

301 Ravenswood Avenue

Menlo Park, CA 94025

(650) 463-8109